

Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Application Number</b>	10/607,524
		<b>Filing Date</b>	June 26, 2003
		<b>First Named Inventor</b>	Chang, Tenny
		<b>Group Art Unit</b>	3721
		<b>Examiner Name</b>	Unknown
		<b>Attorney Docket No:</b> 133	
Sheet 1 of 1			

<b>OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DY		"510(k) Notification For the Cardica C-Port Anastomosis System", Section 9, "Substantial Equivalence," and Appendices B, C, E, (Unpublished).	

EXAMINER	/Diane Yabut/ (09/13/2006)	DATE CONSIDERED
----------	----------------------------	-----------------

Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  JAN 27 2006 IAP1-1 (Use as many sheets as necessary)					
Complete If Known					
<b>Application Number</b>		10/607,524			
<b>Filing Date</b>		June 26, 2003			
<b>First Named Inventor</b>		Chang, Tenny			
<b>Art Unit</b>		3721			
<b>Examiner Name</b>		Unknown			
Sheet	1	of	1	Attorney Docket No: 133	

<b>US PATENT DOCUMENTS</b>					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-2005/0267496 A1	12/01/2005	Loshakove, Amir et al.	
DY		US-4241861	12/30/1980	Fleischer, Harry N.	

<b>FOREIGN PATENT DOCUMENTS</b>				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
DY	DE-69406845	04/09/1998		
DY	EP-0625335B1	11/19/1997	Bolanos, Henry , et al.	
DY	SU 1667844	08/07/1991		

---

EXAMINER /Diane Yabut/ (09/13/2006)
DATE CONSIDERED

---

Substitute Disclosure Statement Form (PTO-1449)  
 \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

JUN 17 2004

ATTY. DOCKET NO.  
133SERIAL NO.  
10/607,524APPLICANT:  
Chang, Tenny et al.FILING DATE:  
6/23/2003GROUP:  
3721

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY	AA	6,209,773	9/7/1999	BOLDUC			
DY	AB	5,976,159	2/8/1996	BOLDUC			
DY	AC	5,662,258	7/11/1996	KNODEL			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES • NO
AY							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AZ

EXAMINER: /Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 133	<b>SERIAL NO.</b> 10/607,524
	<b>APPLICANT:</b> CHANG, TENNY ET AL.	
	<b>FILING DATE:</b> 06/26/03	<b>GROUP:</b> 3721

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
DY		EP1354559A2	5/24/1995	EUROPE			

OTHER DOCUMENTS				
EXAMINER INITIAL		DOCUMENT TITLE	DATE	AUTHOR
DY		ATLAS OF SURGICAL STAPLING	1999	ETHICON ENDO-SURGERY

<b>EXAMINER:</b> /Diane Yabut/ (09/13/2006)	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449			ATTY. DOCKET NO. 133	SERIAL NO. Not yet assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use separate sheets if necessary)			APPLICANT: Chang, Tenny; et. al.	
			FILING DATE: June 27, 2003	GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY		3,254,650	06/01/1966	Collito			
		3,254,651	06/01/1966	Collito			
		3,519,187	07/07/1970	Kapitanov et. al.			
		3,774,615	11/27/1973	Lim et. al.			
		4,214,587	07/29/1980	Sakura, Jr.			
		4,350,160	09/21/1982	Kolesov et. al.			
		4,352,358	10/05/1982	Angelchik			
		4,366,819	01/04/1983	Kaster			
		4,368,736	01/18/1983	Kaster			
		4,503,568	03/12/1985	Madras			
		4,523,592	06/18/1985	Daniel			
		4,553,542	11/19/1985	Schenck et. al.			
		4,593,693	06/10/1986	Schenck			
		4,603,693	08/05/1986	Conta et. al.			
		4,607,637	08/26/1986	Berggren et. al.			
		4,624,255	11/25/1986	Schenck et. al.			
		4,624,257	11/25/1986	Berggren et. al.			
		4,657,019	04/14/1987	Walsh et. al.			
		4,665,906	05/19/1987	Jervis			
		4,747,407	05/31/1988	Liu et. al.			
		4,752,024	06/21/1988	Green et. al.			
		4,773,420	09/27/1988	Green			
▼		4,907,591	03/13/1990	Vasconcellos et. al.			

EXAMINER:	/Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant		

FORM PTO-1449		ATTY. DOCKET NO. 133	SERIAL NO. Not yet assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: Chang, Tenny; et. al.	
(Use separate sheets if necessary)		FILING DATE: June 27, 2003	GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY		4,917,087	04/17/1990	Walsh et. al.			
		4,917,090	04/17/1990	Berggren et. al.			
		4,917,091	04/17/1990	Berggren et. al.			
		4,930,674	06/05/1990	Barak			
		5,005,749	04/09/1991	Aranyi			
		5,062,842	11/05/1991	Tiffany			
		5,104,025	04/14/1992	Main et. al.			
		5,119,983	06/09/1992	Green et. al.			
		5,156,619	10/20/1992	Ehrenfeld			
		5,178,634	01/12/1993	Ramos Martinez			
		5,193,731	03/16/1993	Aranyi			
		5,205,459	04/27/1993	Brinkerhoff et. al.			
		5,211,683	05/18/1993	Maginot			
		5,234,447	08/10/1993	Kaster et. al.			
		5,250,058	10/05/1993	Miller et. al.			
		5,271,544	12/21/1993	Fox et. al.			
		5,275,322	01/04/1994	Brinkerhoff et. al.			
		5,285,945	02/15/1994	Brinkerhoff et. al.			
		5,292,053	03/08/1994	Bilotti et. al.			
		5,304,220	04/19/1994	Maginot			
		5,314,435	05/24/1994	Green et. al.			
		5,314,468	05/24/1994	Ramos Martinez			
▼		5,333,773	08/02/1994	Main et. al.			

EXAMINER: /Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
---	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

FORM PTO-1449				ATTY. DOCKET NO. 133	SERIAL NO. Not yet assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Chang, Tenny; et. al.	
(Use separate sheets if necessary)				FILING DATE: June 27, 2003	GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY		5,336,233	08/09/1994	Chen			
		5,350,104	09/27/1994	Main et. al.			
		5,366,462	11/22/1994	Kaster et. al.			
		5,392,979	02/28/1995	Green et. al.			
		5,395,030	03/07/1995	Kuramoto et. al.			
		5,417,361	05/23/1995	Williamson IV			
		5,443,497	08/22/1995	Venbrux			
		5,447,514	09/05/1995	Gerry et. al.			
		5,452,836	09/26/1995	Huitema et. al.			
		5,454,825	10/03/1995	Van Leeuwen			
		5,456,712	10/10/1995	Maginot			
		5,456,714	10/10/1995	Owen			
		5,464,449	11/07/1995	Ryan et. al.			
		5,465,895	11/14/1995	Knodel et. al.			
		5,478,354	12/26/1995	Tovey et. al.			
		5,522,834	06/04/1996	Fonger et. al.			
		5,533,661	07/09/1996	Main et. al.			
		5,558,667	09/24/1996	Yarborough et. al.			
		5,562,241	10/08/1996	Knodel et. al.			
		5,632,432	05/27/1997	Schulze et. al.			
		5,669,544	09/23/1997	Schulze et. al.			
		5,571,167	11/05/1996	Maginot			
▼		5,580,067	12/03/1996	Hamblin et. al.			

EXAMINER: /Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
---	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

FORM PTO-1449				ATTY. DOCKET NO. 133	SERIAL NO. Not yet assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Chang, Tenny; et. al.	
(Use several sheets if necessary)				FILING DATE: June 27, 2003	GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY		5,609,285	03/11/1997	Grant et. al.			
		5,632,433	05/27/1997	Grant et. al.			
		5,643,340	07/01/1997	Nunokawa			
		5,653,373	08/05/1997	Green et. al.			
		5,669,918	09/23/1997	Balazs et. al.			
		5,676,670	10/14/1997	Kim			
		5,693,088	12/02/1997	Lazarus			
		5,695,504	12/09/1997	Gifford III, et. al.			
		5,702,412	12/30/1997	Popov et. al.			
		5,707,362	01/13/1998	Yoon			
		5,707,380	01/13/1998	Hinchliffe et. al.			
		5,709,693	01/20/1998	Taylor			
		5,725,544	03/10/1998	Rygaard			
		5,797,920	08/25/1998	Kim			
		5,799,857	09/01/1998	Robertson et. al.			
		5,817,113	10/06/1998	Gifford III et. al.			
		5,833,698	11/10/1998	Hinchliffe et. al.			
		5,865,730	02/02/1999	Fox et. al.			
		5,879,371	03/09/1999	Gardiner et. al.			
		5,915,616	06/29/1999	Viola et. al.			
		5,921,995	07/13/1999	Kleshinski			
		5,944,730	08/31/1999	Nobles et. al.			
▼		5,993,464	11/30/1999	Knodel			

EXAMINER: /Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
--------------------------------------	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

FORM PTO-1449		ATTY. DOCKET NO. 133	SERIAL NO. Not yet assigned
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: Chang, Tenny; et. al.	
(Use separate sheets if necessary)		FILING DATE: June 27, 2003	GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DY		6,015,416	01/18/2000	Stefanchik et. al.			
		6,024,748	02/15/2000	Manzo et. al.			
		6,036,700	03/14/2000	Stefanchik et. al.			
		6,050,472	04/18/2000	Shibata			
		6,053,390	04/25/2000	Green et. al.			
		6,066,144	05/23/2000	Wolf et. al.			
		6,066,148	05/23/2000	Rygaard			
		6,071,289	06/06/2000	Stefanchik et. al.			
		6,083,234	07/04/2000	Nicholas et. al.			
		6,110,187	08/29/2000	Donlon			
		6,117,148	09/12/2000	Ravo et. al.			
		6,176,413	01/23/2001	Heck et. al.			
		6,183,486	02/06/2001	Snow et. al.			
		6,187,019	02/13/2001	Stefanchik et. al.			
		6,193,129	02/27/2001	Bittner et. al.			
		6,193,734	02/27/2001	Bolduc et. al.			
		6,248,117	06/19/2001	Blatter			
		6,514,263	02/04/2003	Stefanchik			
		6,520,973	02/18/2003	McGarry			
↓		6,530,932	03/11/2003	Swayze			

EXAMINER: /Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use separate sheets if necessary)

ATTY. DOCKET NO.  
133

SERIAL NO.  
Not yet assigned

APPLICANT:  
Chang, Tenny; et. al.

FILING DATE:  
June 27, 2003

GROUP:  
Not yet assigned

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES      NO
DY	2316910	07/01/1976	France			
	19732234	01/01/1999	Germany			
	00/59380	10/12/2000	PCT			
	00/12013	03/09/2000	PCT			
	99/21491	05/01/1999	PCT			
	99/11178	03/01/1999	PCT			
	98/19625	05/01/1998	PCT			
	0990420	12/27/2000	EPO			
	0820724	03/01/2000	EPO			
	0820725	03/01/2000	EPO			
	0937870	09/01/1999	EPO			
↓	0885595	12/23/1998	EPO			

EXAMINER:	/Diane Yabut/ (09/13/2006)	DATE CONSIDERED:
-----------	----------------------------	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant